

APPENDIX I

REGISTRY OF BOARD MEMBERS

Name	Entered Office	Status	Left Office
Francis J. Duggan	11-22-99	Active	07-01-03*
Magdalena G. Jacobsen	12-01-93	Active	07-01-02*
Ernest W. DuBester	11-15-93	Resigned	08-01-01
Kenneth B. Hipp	05-19-95	Resigned	12-31-98
Kimberly A. Madigan	08-20-90	Resigned	11-30-93
Patrick J. Cleary	12-04-89	Resigned	01-31-95
Joshua M. Javits	01-19-88	Resigned	11-14-93
Charles L. Woods	01-09-86	Resigned	01-15-88
Helen M. Witt	11-18-83	Resigned	09-18-88
Walter C. Wallace	10-12-82	Term Expired	07-01-90
Robert J. Brown	08-20-79	Resigned	06-01-82
Robert O. Harris	08-03-77	Resigned	07-31-84
Kay McMurray	10-05-72	Term Expired	07-01-77
Peter C. Benedict	08-09-71	Deceased	04-12-72
David H. Stowe	12-10-70	Retired	07-01-79
George S. Ives	09-19-69	Retired	09-01-81
Howard G. Gamser	03-11-63	Resigned	05-31-69
Robert O. Boyd	12-28-53	Resigned	10-14-62
Leverett Edwards	04-21-50	Resigned	07-31-70
John Thad Scott, Jr.	03-05-48	Resigned	07-31-53
Francis A. O'Neill, Jr.	04-01-47	Resigned	04-30-71
Frank P. Douglass	07-03-44	Resigned	03-01-50
William M. Leiserson	03-01-43	Resigned	05-31-44
Harry H. Schwartz	02-26-43	Term Expired	01-31-47
David J. Lewis	06-03-39	Resigned	02-05-43
George A. Cook	01-07-38	Resigned	08-01-46
Otto S. Beyer	02-11-36	Resigned	02-11-43
John M. Carmody	07-21-34	Resigned	09-30-35
James W. Carmalt	07-21-34	Deceased	12-02-37
William M. Leiserson	07-21-34	Resigned	05-31-39

*Term expires.

I. Mediation

NMB mediation assistance will foster the prompt and peaceful resolution of collective bargaining disputes in the airline and railroad industries.

FY 2001 Mediation Performance Goals / Targets

1. In all non-excepted cases, mediation applications will be responded to within three business days following their receipt by the NMB.

1997 Baseline:	88%
2000 Performance:	100%
2001 Performance:	100%

2. In all non-excepted cases, a mediator will be assigned within 14 calendar days following the docketing of a case.

1997 Baseline:	91%
2000 Performance:	100%
2001 Performance:	100%

3. In all non-excepted cases, the assigned Mediator will make an initial contact with the parties within seven calendar days following his or her assignment to a case (initial contact is satisfied by speaking with both parties or leaving a voice mail message with both parties).

1997 Baseline:	65%
2000 Performance:	93%
2001 Performance:	97%

4. In all non-excepted cases, the assigned Mediator will establish the date for the first mediation conference within 25 calendar days following the Mediator's assignment to a case.

1997 Baseline:	17%
2000 Performance:	98%
2001 Performance:	98.5%

5. In all non-excepted cases, the mediator and the Board will endeavor to assist the parties in reaching an agreement within 45 days of mediation meetings or fewer.

Baseline:	This is a new standard.
2001 Target:	75% of closed cases.
2001 Performance:	92% of closed cases.

6. In all non-excepted cases, the mediator and the Board will endeavor to assist the parties in reaching an agreement within twelve months (365 days) from the docketing of an application.

Baseline:	This is a new standard.
2001 Target:	75% of closed cases.
2001 Performance:	59% of closed cases.

7. Provide mediation services for 70 new railroad and airline mediation cases.

Baseline:	This is a new standard.
2001 Target:	70 cases.
2001 Performance:	70 cases.

8. Mediate to closure 70 railroad and airline mediation cases.
- | | |
|-------------------|-------------------------|
| Baseline: | This is a new standard. |
| 2001 Target: | 70 cases. |
| 2001 Performance: | 65 cases. |

II. Alternative Dispute Resolution

NMB alternative dispute resolution services will promote effective and efficient use of interest based bargaining and grievance mediation.

FY 2001 Mediation Performance Goals / Targets

1. Provide facilitator and training services in 50 new alternative dispute resolution cases.

Baseline:	This is a new standard.
2001 Target:	50 cases.
2001 Performance:	64 cases.

2. Bring 50 alternative dispute resolution cases to closure.

Baseline:	This is a new standard.
2001 Target:	50 cases.
2001 Performance:	77 cases.

III. Representation

Upon the request of employees of an airline or railroad, the NMB will promptly investigate representation disputes and definitively resolve the employees' representation status for collective bargaining purposes.

1. In all cases, a written response to a representation application will be sent within three business days.

1998 Baseline:	98%
2000 Performance:	99%
2001 Performance:	100%

2. In all cases, an NMB investigator will be assigned to investigate a representation matter within five business days following the initial NMB response, unless the NMB declines to process the application.

1998 Baseline:	100%
2000 Performance:	100%
2001 Performance:	100%

3. In all cases, the NMB's determination of whether there is sufficient showing of interest to warrant further investigation will be made within 45 calendar days of docketing.

2000 Baseline:	98%
2000 Performance:	99%
2001 Performance:	100%

4. In all cases, a certification or a dismissal will be issued within three business days of the ballot count.

Baseline:	This is a revised and more stringent standard. In FY2000 performance under the old standard was 100%.
2000 Performance:	100%
2001 Performance:	100%

-
5. All non-appellate representation cases will be completed within 90 calendar days of docketing.
- | | |
|-------------------|------|
| 1997 Baseline: | 89% |
| 2000 Performance: | 100% |
| 2001 Performance: | 100% |
6. All Board decisions involving allegations of interference in appellate representation cases will be issued within 270 calendar days of docketing.
- | | |
|-------------------|--|
| Baseline: | This is a new standard. |
| 2001 Target: | 80% |
| 2001 Performance: | 75% (the standard was met in 3 of 4 cases) |
7. All predocketing investigations will be completed within 180 calendar days following the investigator's assignment to the case.
- | | |
|-------------------|-------------------------|
| Baseline: | This is a new standard. |
| 2001 Target: | 80% |
| 2001 Performance: | 100% |
8. A written jurisdictional opinion will be provided to the NLRB within 180 calendar days from the date the jurisdictional referral from NLRB headquarters is assigned to an investigator.
- | | |
|-------------------|-------------------------|
| Baseline: | This is a new standard. |
| 2001 Target: | 80% |
| 2001 Performance: | 100% |
9. In all cases which require a Board level decision, the Board will endeavor to issue a decision within 35 days after receiving a staff recommendation.
- | | |
|-------------------|-------------------------|
| Baseline: | This is a new standard. |
| 2001 Target: | 80% |
| 2001 Performance: | 100% |

IV. Arbitration

The NMB will promote the prompt and orderly resolution of grievance disputes.

1. All arbitrators compensated by the NMB will be sent their payment within 10 business days following the NMB's receipt of an appropriate voucher.
- | | |
|-------------------|-----|
| 1997 Baseline: | 85% |
| 2000 Performance: | 93% |
| 2001 Performance: | 94% |
2. Monitor case loads and authorizations to encourage arbitrators to issue awards in all cases within 180 calendar days of hearing dates.
- | | |
|-------------------|-------------------------|
| Baseline: | This is a new standard. |
| 2001 Target: | 90% |
| 2001 Performance: | 71% |

3. At the National Railroad Adjustment Board, reduce the average length of time between the hearing of cases and decisions by at least 5 percent for the year.

Baseline:	This is a revised standard measuring the percentage of change in the average length of time between hearings and decisions using the average number of days from the first quarter of FY 2001 (374 days) as the baseline.
2001 Target:	5% reduction for the year
2001 Performance:	First quarter - baseline (374 days) Second Quarter - 47.3% reduction (197 days) Third Quarter - 52.6% reduction (177 days) Fourth Quarter - 71.3% reduction (107 days)
Performance for the Year:	Second-Fourth Quarters - 57.2% reduction (160 days) Average for the year - 214 days

V. Management and Support Programs

1. Human Resources

Goal: To foster a diverse, highly skilled work force, capable of meeting or exceeding the NMB's strategic goals with efficiency, innovation, and a constant focus on better serving the Board's customers.

Performance: During FY 2001 the Board hired a successor to the public affairs director (Director of Program Development and Outreach), a Mediation Research Specialist, and a Financial Operations Specialist. The Board continued its relationship with Howard University through an Intergovernmental Personnel Act (IPA) assignment, bringing a Howard University faculty member to the Board to work in mediation and alternative dispute resolution. The Board also continued its relationship with George Mason University by sustaining an IPA with the university that allowed the Board's general counsel to work in a unique academic dispute resolution environment. The use of individual performance plans and individual development programs for all staff was continued through FY 2001, enabling the Board to systematically assess performance and prepare NMB staff to operate with maximum effectiveness.

2. Research and Development

Goal: To develop a comprehensive research program that fully supports constructive collective bargaining among the parties.

Performance: During FY 2001 the Board developed a comprehensive strategic plan for research. As part of the strategic plan, the Board streamlined and improved information distribution channels (including the wider use of electronic distribution) and began the process of building in-house systems for archiving and retrieving data, and for the collection, archiving, and searching of information developed outside the Board.

3. Information Technology

Goal: To improve mission performance, system integrity, communications, and productivity through systems that are secure, reliable, compatible, and effective.

Performance: Throughout FY 2001 the information technology staff maintained reliable and secure systems that allow mediators to access NMB material from the field, and which allow for NMB staff at the Washington, D.C., headquarters to work efficiently. During FY 2001 the Board began the process of obtaining and installing a firewall to increase IT security, and it enhanced its capacity to scan and evaluate system use to deter incursions. IT training was featured as part of individual development plans across the Board, and the IT staff conducted in-house training in the computer applications used on the Board's network.

4. Customer Service

Goal: To deliver outstanding service to the NMB customers, both internal and external.

Performance: The Board's Administration Department conducted regular customer service focus groups to identify problem areas, and it acted quickly to address issues raised in the groups. Each department reviewed its customer service standards, either creating standards or revising standards where appropriate. The Board continued to collect regular feedback from the parties, including responses to a standard format for evaluation of the Interest Based Bargaining and Grievance Mediation training conducted by Board mediators.

5. Financial Management

Goal: To ensure sound financial management of NMB resources.

Performance: NMB Administration staff continued to operate well within customer service guidelines for reimbursements and other financial functions. All budget requests and reports were prepared on time and accurately.

6. Public Information

Goal: To ensure that information received by external customers is reliable and timely.

Performance: During FY 2001 the Board established customer service standards for responding to press calls and public inquiries, both by phone and in writing. Those customer service guidelines call for telephone inquiries to be responded to on the day they are received, and for written inquiries to be researched and responded to within five business days from the time they are received. The Board has consistently met these customer service standards. During FY 2001, the Board reviewed and expanded its press release list, and instituted a faster, more reliable means of delivering information to the press. Additionally, the Board, for the first time, posted a press and public information policy on its web site to guide the public in requesting information from the Board. The Board continued to develop its web site to include material of interest to the public, and to make available information about the Board's functions.

TABLE 1 – Number of Cases Received and Closed Out**Mediation Cases**

Status of Cases	2001	2000	1999*	1998*	1997*	5 Year Avg.
Cases Pending and Unsettled at Beginning of Period	61	74	127	176	150	117.6
New Cases Docketed	70	65	71	61	101	73.6
Total Cases on Hand and Received	131	139	198	237	251	191.2
Cases Closed	65	78	124	105	74	89.2
Cases Pending and Unsettled at End of Period	66	61	74	132	177	102.0

*Adjusted figures.

ADR Cases

Status of Cases	2001	2000	1999	1998	1997	5 Year Avg.
Cases Pending and Unsettled at Beginning of Period	28	16	27	7	0	15.6
New Cases Docketed	45	59	45	21	15	37.0
Total Cases on Hand and Received	73	75	72	28	15	52.6
Cases Closed	52	47	56	3	8	33.2
Cases Pending and Unsettled at End of Period	21	28	16	25	7	19.4

Representation Cases

Status of Cases	2001	2000	1999	1998	1997	5 Year Avg.
Cases Pending and Unsettled at Beginning of Period	15	14	11	24	29	18.6
New Cases Docketed	66	75	75	78	78	74.4
Total Cases on Hand and Received	81	89	86	102	107	93.0
Cases Closed	73	74	72	91	83	78.6
Cases Pending and Unsettled at End of Period	8	15	14	11	24	14.4

Total Mediation, ADR, and Representation Cases

Status of Cases	2001	2000	1999	1998	1997	5 Year Avg.
Cases Pending and Unsettled at Beginning of Period	104	104	165	207	179	151.8
New Cases Docketed	181	199	191	160	194	185.0
Total Cases on Hand and Received	285	303	356	367	373	336.8
Cases Closed	190	199	252	199	165	201.0
Cases Pending and Unsettled at End of Period	95	104	104	168	208	135.8

TABLE 2 – Representation Case Disposition by Craft or Class and Employees Involved (FY 2001)

Railroads	Number of Cases	Craft or Class	Employees Involved	Participating Employees
Certifications	13	13	858	761
Dismissals	9	9	13	2
Totals	22	22	871	763

Airlines	Number of Cases	Craft or Class	Employees Involved	Participating Employees
Certifications	16	16	1,635	1,153
Dismissals	35	35	14,775	3,603
Totals	51	51	16,410	4,756

Railroad & Airline Totals	73	73	7,281	5,519
---------------------------	----	----	-------	-------

TABLE 3 – Number of Cases Closed by Major Groups of Employees, October 1, 2000 to September 30, 2001

	Total Cases	Representation Cases	Mediation/ADR Cases
Railroads			
Agents, Telegraphers and Towermen	0	0	0
Boilermakers and Blacksmiths	0	0	0
Brakemen and Conductors	0	0	0
Carmen	3	1	2
Clerical Office, Station and Storehouse Employees	5	1	4
Conductors	8	1	7
Dining Car Employees, Train and Pullman Porters	0	0	0
Electrical Workers	2	1	1
Engineers and Related Employees	4	1	3
Firemen and Oilers	0	0	0
Locomotive Engineers	2	0	2
Locomotive Firemen and Hostlers	0	0	0
Longshoremen	1	0	1
Machinists	2	1	1
Maintenance of Way Employees	8	2	6
Marine Service Employees	0	0	0
Mechanical Department Foremen and/or Supervisors of Mechanics	1	1	0
Operating/Non-Operating Employees	0	0	0
Police Officers Below the Rank of Captain	0	0	0
Power Directors (Supervisors)	0	0	0
Railroad Freight Loaders and Handlers	1	1	0
Sheet Metal Workers	0	0	0
Signalmen	4	1	3
Subordinate Officials in the Car Department	1	1	0
Subordinate Officials in the Maintenance of Equipment Department	1	1	0
Subordinate Officials in the Maintenance of Way and Structures Department (B & B)	1	1	0
Technical Engineers, Architects, Draftsmen and Allied Workers	0	0	0
Train Dispatchers	2	1	1
Train, Engine, and Yard Service	10	7	3
Trainmen	1	0	1
Yardmen	1	0	1
Yardmasters	1	0	1
Combined Groups, Railroad	0	0	
Miscellaneous, Railroad	10	0	10
Railroad Total	69	22	47

	Total Cases	Representation Cases	Mediation/ ADR Cases
Airlines			
EMS Employees (Paramedics/Nurses)	1	1	0
Engineers and Related Employees	1	1	0
Fleet and Passenger Service Employees	5	3	2
Fleet Service Employees	10	7	3
Flight Attendants	17	4	13
Flight Deck Crew Members	14	7	7
Flight Dispatchers	3	2	1
Flight Engineers	0	0	0
Flight Kitchen and Commissary Employees	1	1	0
Flight School Instructors	1	1	0
Flight Simulator Technicians	1	1	0
Ground School Instructors	1	1	0
Mechanics and Related Employees	18	12	6
Office Clerical Employees	0	0	0
Office Clerical, Fleet and Passenger Service Employees	1	0	1
Passenger Service Employees	9	6	3
Skycaps	2	0	2
Pilots	30	2	28
Stock and Stores Employees	3	2	1
Combined Groups, Airlines	0	0	0
Miscellaneous, Airlines	3	0	3
Airline Total	121	51	70
Grand Total, Railroads and Airlines	190	73	117

TABLE 4 – Number of Craft or Class Determinations and Number of Employees Involved in Representation Cases, By Major Groups of Employees, October 1, 2000 to September 30, 2001

	Number of Number of Cases	Craft or Class Determinations	Number of Employees	Percent' of Employees Involved
Railroads				
Agents, Telegraphers and Towermen	0	0	0	0
Boilermakers and Blacksmiths	0	0	0	0
Brakemen and Conductors	0	0	0	0
Carmen	1	1	119	..
Clerical Office, Station and Storehouse Employees	1	1	16	..
Conductors	1	1	319	2%
Dining Car Employees, Train and Pullman Porters	0	0	0	0
Electrical Workers	1	1	24	..
Engineers and Related Employees	1	1	0	0
Firemen and Oilers	0	0	0	0
Locomotive Engineers	0	0	0	0
Locomotive Firemen and Hostlers	0	0	0	0
Longshoremen	0	0	0	0
Machinists	1	1	8	..
Maintenance of Way Employees	2	2	0	0
Marine Service Employees	0	0	0	0
Mechanical Department Foremen and/or Supervisors of Mechanics	1	1	3	..
Operating/Non-Operating Employees	0	0	0	0
Police Officers Below the Rank of Captain	0	0	0	0
Power Directors (Supervisors)	0	0	0	0

Table 4 continued

	Number of Cases	Number of Craft or Class Determinations	Number of Employees	Percent¹ of Employees Involved
Railroads				
Railroad Freight Loaders and Handlers	1	1	5	..
Sheet Metal Workers	0	0	0	0
Signalmen	1	1	0	0
Subordinate Officials in the Car Department	1	1	5	..
Subordinate Officials in the Maintenance of Equipment Department	1	1	0	0
Subordinate Officials in the Maintenance of Way and Structures Department (B & B)	1	1	1	..
Technical Engineers, Architects, Draftsmen and Allied Workers	0	0	0	0
Train Dispatchers	1	1	31	..
Train, Engine, and Yard Service	7	7	232	1%
Yardmasters	0	0	0	0
Combined Groups, Railroad	0	0	0	0
Miscellaneous, Railroad	0	0	0	0
Railroad Total	22	22	763	4%
Airlines				
EMS Employees (Paramedics/Nurses)	1	1	34	..
Engineers and Related Employees	1	1	31	..
Fleet and Passenger Service Employees	3	3	287	2%
Fleet Service Employees	7	7	1,996	11%
Flight Attendants	4	4	384	2%
Flight Deck Crew Members	7	7	309	2%
Flight Dispatchers	2	2	35	..
Flight Engineers	0	0	0	0
Flight Kitchen and Commissary Employees	1	1	0	0
Flight School Instructors	1	1	76	..
Flight Simulator Technicians	1	1	27	..
Ground School Instructors	1	1	0	0
Mechanics and Related Employees	12	12	265	1%
Office Clerical Employees	0	0	0	0
Office Clerical, Fleet and Passenger Service Employees	0	0	0	0
Passenger Service Employees	6	6	1,186	7%
Pilots	2	2	68	..
Stock and Stores Employees	2	2	58	..
Combined Groups, Airlines	0	0	0	0
Miscellaneous, Airlines	0	0	0	0
Airline Total	51	51	4,756	27%
Railroads and Airlines Grand Total	73	73	5,519	32%

1. Percent listing for each group represents the percentage of the 17,281 employees involved in all railroad and airline cases during fiscal year 2001

(..) Less than one percent

TABLE 5 – Number of Crafts or Classes Certified and the Percent* of Employees Involved in Various Types of Representation Cases, October 1, 2000 to September 30, 2001

	National Organizations			Local Unions and/or Individuals			Totals		
	Craft or Class	Employees Number	Involved Percent	Craft or Class	Employees Number	Involved Percent	Craft or Class	Employees Number	Involved Percent
Railroads									
Representation Acquired:									
Elections	4	157	1%	0	0	0	4	157	1%
Proved Authorizations	0	0	0	0	0	0	0	0	0
Representation Changed:									
Elections	2	110	..	5	161	..	7	271	1%
Proved Authorizations	0	0	0	0	0	0	0	0	0
Representation Unchanged:									
Elections	2	335	2%	0	0	0	2	335	2%
Proved Authorizations	0	0	0	0	0	0	0	0	0
Total, Railroads	8	602	3%	5	161	..	13	763	4%
Airlines									
Representation Acquired:									
Elections	12	851	5%	3	174	1%	15	1,025	6%
Proved Authorizations	0	0	0	0	0	0	0	0	0
Representation Changed:									
Elections	1	128	1%	0	0	0	1	128	1%
Proved Authorizations	0	0	0	0	0	0	0	0	0
Representation Unchanged:									
Elections	0	0	0	0	0	0	0	0	0
Proved Authorizations	0	0	0	0	0	0	0	0	0
Total, Airlines	13	979	6%	3	174	1%	16	1,153	7%
Total, Combined Railroads and Airlines	21	1,581	9%	8	335	2%	29	1,916	11%

* Percent listing for each group represents the percentage of the 17,281 employees involved in all rail and airline cases in fiscal year 2001.

(..) Less than one percent.

Note: These figures do not include cases that were withdrawn or dismissed. Because of rounding, sums of individual items may not equal totals.

TABLE 6 – Interest Arbitration Cases - FY 2001

Board Number	Carrier	Organization	Issue
--------------	---------	--------------	-------

NONE

TABLE 7 – Strikes in the airline Industries - FY 2001

Case No.	Carrier	Union	Craft/ Class	Date of Strike	Date Work Resumed	Duration	Issues	No. of employees	Disposition
A13043	ComAir	ALPA	Pilots	3/26/01	6/22/01	89 Days	Renegotiation	1,350	NMB assisted agreement

TABLE 8 – Strikes in the Railroad Industries - FY 2001

Case No.	Carrier	Union	Craft/ Class	Date of Strike	Date Work Resumed	Duration	Issues	No. of employees	Disposition
----------	---------	-------	-----------------	-------------------	----------------------	----------	--------	---------------------	-------------

NONE

TABLE 9 – RLA Section 10 Presidential Emergency Boards - FY 2001

PEB Number	Carrier	Union	Disposition
235	NWA	AMFA	Agreement by the parties before PEB report to the president

TABLE 10 – RLA Section 9a Presidential Emergency Boards - FY 2001

PEB Number	Carrier	Union	Disposition
------------	---------	-------	-------------

NONE

APPENDIX IV

MEDIATION AND REPRESENTATION CASE RECORD

Docketed Airline Mediation Cases - FY 2001

Carrier	Union	Craft/Class
Air Wisconsin Airlines	IAM	Passenger Service Employees
Air Wisconsin Airlines	IAM	Mechanics & Related Employees
Allegheny Airlines	AFA	Flight Attendants
Allegheny Airlines	IBT	Fleet & Passenger Service Employees
America West Airlines	ALPA	Pilots
America West Airlines	BT	Stock & Stores
BWIA Airlines	IAM	Mechanics & Related Employees
Continental Micronesia, Inc.	IAM	Flight Attendants
Continental Micronesia, Inc.	IBT	Mechanics & Related Employees
Delta Air Lines	ALPA	Pilots
Delta Air Lines	TWU	Pilots Ground Training Instructors
El Al Israel Airlines	IAM	Fleet & Passenger Service Employees
Executive Air Terminal Aviation	IAM	Mechanics & Related Employees
Executive Jet, Inc.	IBT	Pilots
Grand Aire Express, Inc.	IBT	Pilots
Great Lakes Aviation	IBT	Pilots
Gulfstream International Airlines	IBT	Pilots
Hawaiian Airlines	IAM	Mechanics & Related Employees
Hawaiian Airlines	IAM	Office Clerical Employees
International Total Services, Inc.	SEIU	Passenger Service Employees
Mesaba Aviation, Inc.	AFA	Flight Attendants
Midway Airlines Corp.	AFA	Flight Attendants
Pan American World Airways, Inc.	AFA	Flight Attendants
PSA Airlines, Inc.	ALPA	Pilots
PSA Airlines, Inc.	IAM	Mechanics & Related Employees
Ryan Airlines	ALPA	Pilots
Sun Country Airlines	ALPA	Pilots
Trans Continental nka Express. Net	TCPA	Flight Deck Crew Members
United Airlines	IAM	Passenger Service Employees
United Airlines	IAM	Fleet Service Employees
United Airlines	IAM	Flight Kitchen & Commissary Employees
United Airlines	IAM	Security Officers & Security Guards

Closed Airline Mediation Cases - FY 2001

Carrier	Union	Craft/Class
Air Transport International	IBT	Flight Deck Crew Members
Air Wisconsin Airlines	ALPA	Pilots
Air Wisconsin Airlines	IAM	Mechanic & Related
Allegheny Airlines	IBT	Mechanics & Related Employees
American Airlines	APFA	Flight Attendants
Champion Air	IBT	Flight Attendants
Comair, Inc.	ALPA	Pilots
Continental Micronesia, Inc.	IAM	Flight Attendants
Dalfort Aerospace	IBT	Mechanics & Related
Delta Air Lines	ALPA	Pilots
El Al Israel Airlines	IAM	Fleet & Passenger Service Employees
Executive Jet, Inc.	IBT	Pilots
Fine Air Services, Inc.	IBT	Flight Deck Crew Members
Hawaiian Airlines	ALPA	Pilots
Horizon Air	IBT	Pilots
International Total Services, Inc	SEIU	Passenger Service Employees
Island Air	HTAW	Fleet Service Employees

LACSA	IBT	Office, Clerical, Fleet & Passenger Service
LACSA	IBT	Fleet & Passenger Service
Liat Caribbean Airlines	IAM	Passenger Service Employees
Midway Airlines Corp.	IAM	Fleet Service Employees
Northwest Airlines, Inc.	AMFA	Mechanics & Related Employees
Northern Air Cargo, Inc.	IBEW	Flight Deck Crew Member
Pakistan International Airlines, Inc.	IBT	Stock & Stores Employees
Petroleum Helicopters, Inc.	OPEIU	Flight Deck Crew Members
PSA Airlines	IAM	Mechanic & Related
Quality Aircraft Services, Inc.	IUISTHE	Skycaps
Ryan Air	ALPA	Pilots
Southwest Airlines, Inc.	TWU	Fleet Service Employees
Tower Air, Inc.	ALPA	Pilots
Tower Air, Inc.	AFA	Flight Attendants
Trans Continental nka Express. Net	TCPA	Flight Deck Crew Members
United Airlines	ALPA	Pilots
United Safeguard AVGR Intl' Business	IUISTHE	Skycaps

Docketed Railroad Mediation Cases - FY 2001

Carrier	Union	Craft/Class
AMTRAK	BB&B	Boilermakers - Blacksmiths
AMTRAK	IAM	Machinists
AMTRAK	MWIA	Sheet Metal Workers
AMTRAK	BRS	Signalmen
Canadian Pacific Railway	ATDD	Train Dispatcher
Canadian Pacific Railway	BMWE	Maintenance of Way Employees
Delaware & Hudson Railway	BMWE	Maintenance of Way Employees
Dakota, Minnesota & Eastern Railroad	UTU	Train & Engine Service Employees
C/N Grand Trunk Western	TCU	Carmen
I & M Rail Link	TCU	Carmen
I & M Rail Link	IBEW	Electricians
I & M Rail Link	BRS	Signalmen
I & M Rail Link	IAM	Machinists
Iowa Interstate Railroad	UTU	Train & Engine Service Employees
Kansas City Southern Railway	BMWE	Maintenance of Way Employees
Kansas City Southern Railway	BMWE	Maintenance of Way Employees
Lake Terminal Railroad Company	UTU	Engine Service Employees
Lake Terminal Railroad Company	UTU	Trainmen
Montana Rail Link, Inc.	BMWE	Maintenance of Way Employees
Montana Rail Link, Inc.	TCU	Carmen
Montana Rail Link, Inc.	IBEW	Electricians, Apprentice & Helpers
Montana Rail Link, Inc.	BRS	Signalmen
Montana Rail Link, Inc.	TCU	Clerical Office, Sta. & Storehse Employees
Montana Rail Link, Inc.	NCFO	Power House & Ry. Shop Laborers
Montana Rail Link, Inc.	IAM	Machinists
NCCC/NRLC	IBB&B	Boiler makers - Blacksmiths
NCCC/NRLC	SMWIA	Sheet Metal Workers
NCCC/NRLC	IAM	Mechanics & Related Employees
NCCC/NRLC	IBEW	Electrical Workers
NCCC/NRLC	BRS	Signalmen
New Orleans Public Belt Railroad	UTU	Engineers & Related Employees
New Jersey Transit Railroad	ATDD	American Train Dispatchers Department
Port Authority Trans Hudson	ATDD	American Train Dispatchers Department
Reading, Blue Mountain & Northern RR	UTU	Conductors
River Terminal Railway Company	UTU	Conductors
Toledo, Peoria & Western Railway	UTU	Train, Engine & Yard Service Employees
York Rail, Inc.	BMWE	Maintenance of Way Employees
York Rail, Inc.	UTU	Train, Engine & Yard Service Employees

Closed Railroad Mediation Cases - FY 2001

Carrier	Union	Craft/Class
Alabama State Docks	ILA	Longshoremens
Bangoor - Aroostook Railroad	UTU	Conductors
Canadian American Railroad	UTU	Conductors
Chicago, Central & Pacific Railroad	UTU	Yardmen
Duluth, Missabe & Iron Ridge	BLE	Engineers & Related
Elgin, Joliet & Eastern Railway	UTU	Conductors
C/N Grand Trunk Western	BMWE	Maintenance of Way Employees
C/N Grand Trunk Western	TCU	Carmen
I & M Rail Link	BMWE	Maintenance of Way Employees
I & M Rail Link	BLE	Locomotive Engineers
I & M Rail Link	TCU	Carmen
I & M Rail Link	IBEW	Electricians
I & M Rail Link	BRS	Signalmen
I & M Rail Link	IAM	Machinists
Iowa Interstate Railroad	BMWE	Maintenance of Way Employees
Iowa Interstate Railroad	UTU	Train & Engin Service Employees
Kansas City Southern Railway	BMWE	Maintenance of Way Employees
Metro North Railroad	BRS	Signalmen
NCCC/NRLC	BMWE	Maintenance of Way Employees
New Orleans Public Belt Railroad	UTU	Engineers & Related Employees
Northern Vermont Railroad	UTU	Conductors
Port Authority Trans Hudson	UTU	Conductors
Reading, Blue Mountain & Northern RR	UTU	Conductors
River Terminal Railway	UTU	Conductors
San Joaquin Valley Railroad	UTU	Trainmen
Southeastern Penn. Transit Auth.	BLE	Locomotive Engineers
Terminal Railroad Assoc. of St. Louis	UTU	Train & Engine Service Employees
Tuscola & Saginaw Bay Railroad	UTU	Train & Engine Service Employees
Union Railroad Company	USWA	Clerical Office, Sta. & Storehouse Employees
Union Railroad Company	USWA	Clerical Office, Sta. & Storehouse Employees
Union Railroad Company	USWA	Maintenance of Way Employees

Docketed Airline Representation Cases - FY 2001

Carrier	Union	Craft/Class
Air Methods Corporation	OPEIU	Flight Deck Crew Members
AirTran Airways, Inc.	IBT	Fleet and Passenger Service Employees
AirTrans Airways, Inc.	IBT	Fleet and Passenger Service Employees
AirTran Airways, Inc.	IBT	Mechanics and Related Employees
American Eagle Airlines, Inc.	TWU-AMFA	Mechanics and Related Employees
American Eagle Airlines, Inc.	CWA	Passenger Service Employees
Amerijet International, Inc.	IBT	Flight Deck Crew Members
American Trans Air, Inc.	IAM	Stock and Stores Employees
America West Airlines, Inc.	TWU	Passenger Service Employees
Atlantic Southeast Airlines, Inc.	IAM	Mechanics and Related Employees
CareFlite	OPEIU	Pilots
Continental Airlines/Cont. Express	TWU	Flight Simulator Technicians
Continental Airlines, Inc.	IAM	Fleet Service Employees
CCAair, Inc.	IBT	Fleet and Passenger Service Employees
Comair, Inc.	IBT	Flight Attendants
Command Security Corporation d/b/a Aviation Safeguards	PWU	Fleet Service Employees
Delta Air Lines Global Services, Inc.	UIU	Fleet Service Employees
Delta Air Lines, Inc.	AFA	Flight Attendants
Dobbs International Services, Inc. d/b/a Gate Gourmet	IBT-HERE-IAM	Flight Kitchen and Commissary Emp.

Executive Jet Aviation, Inc.	IBT	Flight Attendants
Express Airlines I, Inc.	PACE	Fleet and Passenger Service Employees
Express Airlines I, Inc.	AMFA	Mechanics and Related Employees
Express One Airlines, Inc.	EOCA-IBT	Flight Deck Crew Members
Fine Air Services, Inc.	IBT	Flight Deck Crew Members
Frontier Airlines, Inc.	IBT	Mechanics and Related Employees
Frontier Airlines, Inc.	IBT	Stock and Stores Employees
Grupo TACA	IBT	Fleet and Passenger Service Employees
Holland America West Tours	IBT	Stock and Stores Employees
Iberia Airlines	IAM	Mechanics and Related Employees
International Total Services	IBT	Passenger Service Employees
Mercy Air Services	IAEP	EMS Employees (Nurses and Paramedics)
Miami Air International	AFA	Flight Attendants
Midway Airlines Corporation	IAM	Mechanics and Related Employees
National Airlines	IBT	Mechanics and Related Employees
Northern Air Cargo, Inc.	BEW	Flight Deck Crew Members
Pakistan International Airlines	IBT	Office Clerical Employees
Pakistan International Airlines	IBT	Passenger Service Employees
St. Louis Helicopter Airways, Inc.	OPEIU	Flight Deck Crew Members
TradeWinds Airlines, Inc.	TWACCA	Flight Deck Crew Members
United Airlines, Inc.	IAM	Passenger Service Employees
United Airlines, Inc.	IAM	Passenger Service Employees
United Airlines, Inc.	IAM	Ground School Instructors
United Airlines, Inc.	IAM	Mechanics and Related Employees
United Airlines, Inc.	AMFA-IAM	Mechanics and Related Employees
US Airways, Inc.	IAM	Mechanics and Related Employees
US Airways, Inc.	IAM	Mechanics and Related Employees
US Airways, Inc.	IAM	Mechanics and Related Employees
US Airways, Inc.	IAM	Engineers and Related Employees

Closed Airline Representation Cases - FY 2001

Carrier	Union	Craft/Class	Disposition
Aeromexico	IAM	Passenger Service	Certification
Air Methods Corporation	OPEIU	Flight Deck Crew Members	Dismissal
AirTrans Airways, Inc.	IBT	Fleet and Passenger Service	Findings Upon Inves.-Dismissal
AirTran Airways, Inc.	IBT	Mechanics and Related	Findings Upon Inves.-Dismissal
Air Wisconsin Airlines Corp.	TWU	Flight Dispatchers	Dismissal
American Eagle Airlines	TWU-AMFA	Mechanics and Related	Findings Upon Inves.-Dismissal
American Eagle Airlines	CWA	Passenger Service	Dismissal
Amerijet International	IBT	Flight Deck Crew Members	Dismissal
American Trans Air	IAM	Fleet Service Employees	Certification
American Trans Air	IAM	Stock and Stores	Dismissal
America West Airlines	TWU	Passenger Service	Dismissal-Insuf. Showing of Interest
Atlantic Southeast Airlines	IAM	Mechanics and Related	Dismissal
CareFlite	OPEIU	Pilots	Certification
Continental Airlines	IAM	Fleet Service	Dismissal-Withdrawn During Inves.
Continental Airlines/ Continental Express	IACP	Flight Instructors	Certification
Continental Airlines Continental Express	TWU	Flight Simulator Technicians	Certification
CCAir	IBT	Fleet and Passenger Service	Dismissal
Comair	IBT	Flight Attendants	Findings Upon Inves.-Dismissal
Command Security Corp, d/b/a Aviation Safeguards	PWU	Fleet Service	Dismissal-Withdrawn During Inves.
Delta Air Lines	TWU	Fleet Service	Dismissal
Delta Air Lines Global Services	UIU	Fleet Service	Findings Upon Inves.-Dismissal
Dobbs Int'l Services d/b/a Gate Gourmet	IBT-HERE-IAM	Flight Kitchen & Commissary	Certification

Executive Jet Aviation	IBT	Flight Attendants	Certification
Express Airlines I, Inc.	PACE	Fleet and Passenger Service	Dismissal
Express Airlines I, Inc.	AMFA	Mechanics and Related	Dismissal
Express One Airlines	EOCA-IBT	Flight Deck Crew Members	Findings Upon Inves.-Dismissal
Fine Air Services	IBT	Flight Deck Crew Members	Certification
Frontier Airlines	IBT	Mechanics and Related	Certification
Grupo TACA	IBT	Fleet and Passenger Service	Findings Upon Inves.-Dismissal
Holland America West Tours	IBT	Stock and Stores	Dismissal-Withdrawn During Inves.
International Total Services	IBT	Passenger Service	Dismissal-Withdrawn During Inves.
Mercy Air Services	IAEP	EMS Employees (Nurses and Paramedics)	Dismissal
Miami Air International	AFA	Flight Attendants	Certification
Midway Airlines	IAM	Mechanics and Related	Dismissal
National Airlines	IAM	Fleet Service Employees	Dismissal
National Airlines	IBT	Mechanics and Related	Dismissal
Shuttle America Corp.	IBT	Flight Deck Crew Members	Certification
Spirit Airlines, Inc.	AFA	Flight Attendants	Certification
St. Louis Helicopter Airways	OPEIU	Flight Deck Crew Members	Certification
TradeWinds Airlines	TWACCA	Flight Deck Crew Members	Certification
Transmeridian Airlines	TPC	Pilots	Certification
United Airlines	IAM	Mechanics and Related	Findings Upon Inves.-Dismissal
United Airlines	IAM	Mechanics and Related	Dismissal-Insuf. Showing of Interest
United Airlines	IAM	Passenger Service	Dismissal-Withdrawn During Inves.
United Airlines	IAM	Passenger Service	Dismissal-Withdrawn During Inves.
United Airlines	IAM	Ground School Instructors	Findings Upon Inves.-Dismissal
United Parcel Service	TWU	Flight Dispatchers	Certification
US Airways	IAM	Mechanics and Related	Findings Upon Inves.-Dismissal
US Airways	IAM	Mechanics and Related	Findings Upon Inves.-Dismissal
US Airways	IAM	Mechanics and Related	Findings Upon Inves.-Dismissal
US Airways	IAM	Engineers and Related	Dismissal

Docketed Railroad Representation Cases - FY 2001

Carrier	Union	Craft/Class
Canadian Pacific Railway (Soo Line)	TCU-ARASA Div.-SLSCSA	Signal and Communication Supervisors
CSX Transportation	UTU-Individual	Train and Engine Service Employees
Dakota, Minnesota & Eastern Railroad	BMWE	Maintenance of Way Employees
Dakota, Minnesota & Eastern Railroad	BMWE	Mechanics and Mechanic Helpers
Foreign & Domestic Car Services	IBT	Rail Freight Loaders and Handlers
Indiana & Ohio Railway	BLE	Train and Engine Service Employees
Long Island Rail Road	IRSA	Supervisors/Foremen in the Maintenance of Equipment Dept.
Meridian Southern Railway	BLE	Train and Engine Service Employees
Montana Rail Link	BLE-ATDD Dept.	Train Dispatchers
Northern Vermont Railroad	BMWE-UTU	Engineers
Northern Vermont Railroad	BMWE-UTU	Trainmen
Northern Vermont Railroad	BMWE-UTU	Maintenance of Way Employees
Northern Vermont Railroad	BMWE-UTU	Signalmen
Northern Vermont Railroad	BMWE-UTU	Machinists
Paducah & Louisville Railway	UTU-BLE	Train and Engine Service Employees
Southeastern Pennsylvania Transportation Authority	UTU-BLE	Conductors
Terminal Railroad Association of St. Louis	UTU-BLE	Train and Engine Service Employees
Wheeling and Lake Erie Railway	UTU-CSCDSC	Office Clerical, Station, and Storehouse Employees

Closed Railroad Representation Cases - FY 2001

Carrier	Union	Craft/Class	Disposition
CSX Transportation	UTU-Ind.	Train and Engine Service	Dismissal-Insuf. Showing of Interest
Finger Lakes Railway	BLE	Train and Engine Service	Dismissal
Foreign & Domestic Car Services	IBT	Rail Freight Loaders and Handlers	Certification
Indiana & Ohio Railroad	BLE	Train and Engine Service	Certification
Long Island Rail Road	IRSA	Supervisors/Foremen in the Maintenance of Equipment Dept.	Findings Upon Inves.-Dismissal
Modesto & Empire Traction	UBCJ-UTU	Engine Service	Certification
Montana Rail Link	BLE-ATDD	Dept.	Train Dispatchers Certification
Northern Vermont Railroad	BMWE-UTU	Engineers	Dismissal-Withdrawn During Investigation
Northern Vermont Railroad	BMWE-UTU	Trainmen	Dismissal-Withdrawn During Investigation
Northern Vermont Railroad	BMWE-UTU	Maintenance of Way	Dismissal-Withdrawn During Investigation
Northern Vermont Railroad	BMWE-UTU	Signalmen	Dismissal-Withdrawn During Investigation
Northern Vermont Railroad	BMWE-UTU	Machinists	Dismissal-Withdrawn During Investigation
Paducah & Louisville Railway	UTU-BLE	Train and Engine Service Employees	Certification
Port Authority Trans-Hudson	RITU-TCU	Carmen	Certification
Port Authority Trans-Hudson	RITU-TCU	Electricians	Certification
Port Authority Trans-Hudson	RITU-TCU	Machinists	Certification
Southeastern Pennsylvania Transportation Authority	UTU-BLE	Conductors	Certification
Terminal Railroad Assn. of St. Louis	Ind.-TCU	Supervisors/Foremen in the Car Dept.	Certification
Terminal Railroad Assn. of St. Louis	Ind.-TCU	Mechanical Dept. Foremen and/or Supervisors of Mechanics	Certification
Terminal Railroad Assn. of St. Louis	Ind.-TCU	Supervisors/Foremen in the Maintenance of Way Dept. (B&B)	Dismissal
Terminal Railroad Assn. of St. Louis	UTU-BLE	Train and Engine Service	Certification
Wheeling and Lake Erie Railway	UTU-CSCDSC	Clerical Office, Station,	Certification

Sixty Seventh Annual Report of the National Railroad Adjustment Board Chicago, Illinois FY 2001

M. W. Fingerhut, Chairman
W. R. Miller, Vice Chairman

Arbitration Monies Obligated for FY 2001*

Referee Service:

Referee Salaries	\$2,201,604
Referee Travel	136,466
Total Obligations for Referee Service	\$2,338,070

Section 153 Administration:

Salaries for Employees (Including personnel benefits, retirement, health, etc.)	\$407,004
Travel Expenses	21,153
Transportation of Material (To Records Center)	518
Rent/Communications/Utilities	82,650
Printing	0
Other Services	82,233
Supplies and Materials	4,000
Equipment	5,825
Administrative Obligations	\$603,383

Total Section 153 and 157 Obligations	\$2,941,453
Unobligated Balance	\$10,547
Total Appropriations	\$2,952,000

*Accounting for all monies appropriated by Congress for the fiscal year 2001 pursuant to the authority conferred by the Railway Labor Act, as amended (public law 442, 73rd Congress - Approved June 21, 1934).

2000 Arbitration Government Employees & Duties

	Title	Duties
Watkins, Roland	Director	Responsible for Arbitration Services and NRAB Government affairs
Conrad, Carol	Lead Program Assistant	Assists in Sections 153 & 157 Arbitration activities
Washington, Carolyn	Administrative Assistant	Coordinates automated information systems Divisional
Gathings, Linda	Arbitration Assistant	Responsible for all divisions of NRAB
Ybanez, Kimberly	Office Assistant	Responsible for all divisions of NRAB

National Railroad Adjustment Board Referees - FY 2001

First Division	Second Division	Third Division	Fourth Division
John R. Binau	Edwin H. Benn	Edwin H. Benn	James E. Conway
Rodney E. Dennis	James E. Conway	Steven M. Bierig	
Dana E. Eischen	Ann S. Kenis	Roy J. Carvatta	
John C. Fletcher	Martin H. Malin	Donald W. Cohen	
John B. LaRocco	Herbert L. Marx, Jr.	Rodney E. Dennis	
Martin H. Malin	Robert G. Richter	Robert L. Douglas	
Peter R. Meyers		Ann S. Kenis	
Robert G. Richter		Herbert L. Marx, Jr.	
Barry E. Simon		Andree Y. McKissick	
Elizabeth C. Wesman		Curtis L. Melberg	
		Peter R. Meyers	
		Nancy F. Murphy	
		Margo R. Newman	
		Robert M. O'Brien	
		Robert Perkovich	
		Barry E. Simon	
		Gerald E. Wallin	
		Elizabeth C. Wesman	
		Marty E. Zusman	

Cases Docketed and Closed by the National Railroad Adjustment Board FY 2001

All Divisions:	67 Year Period	2001	2000
Open and on hand at beginning of Period:	0	1,514	2,445
New Cases:	101,036	1,259	853
Total Number of cases on hand and docketed:	101,036	2,773	3,298
Cases Closed:	99,276	1,013	1,784
Cases Decided without Referee:	13,714	341	438
Cases Decided with Referee:	56,507	573	517
Cases Withdrawn:	29,055	99	829
Open Cases on hand at close of period:	1,760	1,760	1,514
First Division:			
Open and on hand at beginning of Period:	0	151	148
New Cases:	45,446	501	121
Total Number of cases on hand and docketed:	45,446	652	269
Cases Closed:	44,906	112	118
Cases Decided without Referee:	10,940	5	11
Cases Decided with Referee:	14,257	99	96
Cases Withdrawn:	19,709	8	11
Open Cases on hand at close of period:	540	540	151

Second Division:	67 Year Period	2001	2000
Open and on hand at beginning of Period:	0	133	131
New Cases:	13,565	40	90
Total Number of cases on hand and docketed:	13,565	173	221
Cases Closed:	13,488	96	88
Cases Decided without Referee:	770	32	0
Cases Decided with Referee:	11,298	62	80
Cases Withdrawn:	1,420	2	8
Open Cases on hand at close of period:	77	77	133
Third Division:			
Open and on hand at beginning of Period:	0	1,225	2,162
New Cases:	36,954	703	638
Total Number of cases on hand and docketed:	36,954	1,928	2,800
Cases Closed:	38,820	794	1,575
Cases Decided without Referee:	1,906	304	427
Cases Decided with Referee:	27,216	401	338
Cases Withdrawn:	6,698	89	810
Open Cases on hand at close of period:	1,134	1,134	1,225
Fourth Division:			
Open and on hand at beginning of Period:	0	5	4
New Cases:	5,071	15	4
Total Number of cases on hand and docketed:	5,071	20	8
Cases Closed:	5,062	11	3
Cases Decided without Referee:	9	0	0
Cases Decided with Referee:	3,825	11	3
Cases Withdrawn:	1,228	0	0
Open Cases on hand at close of period:	9	9	5

**Sixty-Seventh Annual Report of the First Division of the National Railroad Adjustment Board
to the National Mediation Board for the Fiscal Year Ended September 30, 2001**

Membership:

M. W. Fingerhut, Chairman	K. N. Thompson, Vice Chairman	W. B. Murphy
R. K. Radek	R. Burney	M. J. Ruef
C. Wise	B. R. Wigent	

Jurisdiction

In accordance with the Railway Labor Act, as amended, 45 U.S.C. §153, First (h), the First Division of the National Railroad Adjustment Board has jurisdiction over disputes between employees or groups of employees and carriers involving train and yard service employees; that is, engineers, firemen hostlers, and outside hostler helpers, conductors, trainmen and yard service employees. This division shall consists of eight members, four of whom shall be selected and designated by the carriers and four of whom shall be selected and designated by the labor organizations.

Total Caseloads:	# Cases	Breakdown of cases Disposed of:	# Cases
On hand at beginning of year	151	Decided without Referee	5
New cases	501	Decided with Referee	99
Cases Disposed of	112	Otherwise closed (withdrawn)	8
On hand at end of year	540		
Carriers Party to New Cases for FY 2001	# Cases	Unions Party to New Cases for FY 2001	# Cases
Belt Railway Company of Chicago	2	Brotherhood of Locomotive Engineers	446
Burlington Northern	9	Miscellaneous (Individual)	13
Chicago, Central & Pacific	1	United Transportation Union	42
Colorado & Wyoming Railway Co.	2		
CSX Transportation	5		
Duluth, Winnepeg & Pacific Rwy.	1		
Duluth, Missabe & Iron Range Rwy.	1		
Elgin, Joliet & Eastern Railway Co.	1		
Grand Trunk Western Railroad Co.	4		
I & M Railway	1		
Illinois Central Railroad	5		
Midsouth	1		
Montana Rail Link	9		
New Jersey Transit Rail Corp.	3		
New York Cross Harbor	1		
Norfolk Southern	2		
Northeast Illinois Regional Commuter	4		
Port Terminal Railroad	2		
River Terminal Railway	1		
San Joaquin Valley Railroad Co.	2		
South Carolina Public Railroad	1		
Soo Line Railroad	14		
Southeastern Pennsylvania Transit	1		
Union Pacific Railroad Co.	413		
Wisconsin Central, LTD	15		

**Sixty-Seventh Annual Report of the Second Division of the National Railroad Adjustment Board
to the National Mediation Board for the Fiscal Year Ended September 30, 2001**

Membership:

P. V. Varga, Chairman	R. S. Bauman, Vice Chairman	M. W. Fingerhut
D. S. Anderson	M. C. Lesnik	A. M. Novakovic
J. R. Hurtubise	J. Cronk	

Jurisdiction

The Second Division of the National Railroad Adjustment Board has jurisdiction over disputes involving machinists, boiler-makers, blacksmiths, sheet metal workers, electrical workers, carmen, the helpers and apprentices of all the foregoing, coach cleaners, power-house employees, and the railroad shop laborers. This division shall consists of ten members, five of whom shall be selected by the carriers and five by the national labor organizations of the employees. (The Railway Labor Act, as amended, 45 U.S.C. §153, First (h)).

Total Caseloads:	# Cases	Breakdown of cases Disposed of:	# Cases
On hand at beginning of year	133	Decided without Referee	32
New cases	40	Decided with Referee	962
Cases Disposed of	96	Otherwise closed (withdrawn)	2
On hand at end of year	77		
Carriers Party to New Cases for FY 2001	# Cases	Unions Party to New Cases for FY 2001	# Cases
Amtrak	3	Brotherhood Railway Carmen	20
Bangor & Aroostook	2	Int'l. Assoc. of Machinists & Aerospace Workers	3
Belt Railway Company of Chicago	1	International Brotherhood of Electrical Workers	6
Burlington Northern Santa Fe Rwy.	5	National Conference of Firemen & Oilers	5
Delaware and Hudson Railroad Co.	1	Miscellaneous (Individual)	2
Duluth, Missabe & Iron Range Rwy.	1	Sheet Metal Workers	4
I & M Railroad	1		
Kansas City Southern Railway Co.	1		
Montana Rail Link	4		
New Jersey Transit Rail Corporation	1		
Sand Springs Railway Company	1		
Soo Line Railroad	1		
South Buffalo Railway	2		
Springfield Terminal	13		
Union Pacific Railroad	3		

**Sixty-Seventh Annual Report of the Third Division of the National Railroad Adjustment Board
to the National Mediation Board for the Fiscal Year Ended September 30, 2001**

Membership:

M. C. Lesnik, Chairman	W. R. Miller, Vice Chairman	M. W. Fingerhut
C. A. McGraw	P. V. Varga	D. Volz
L. D. Miller	R. C. Robinson	I. Monroe

Jurisdiction

The Third Division of the National Railroad Adjustment Board has jurisdiction over disputes involving station, tower and telegraph employees, train dispatchers, maintenance-of-way-men, clerical employees, freight handlers, express, station and store employees, signalmen, sleeping-car conductors, sleeping-car porters and maids, and dining car employees. This division shall consist of ten members, five of whom shall be selected by the carriers and five by the national labor organizations of employees. (The Railway Labor Act, as amended, 45 U.S.C. §153, First (h)).

Total Caseloads:	# Cases	Breakdown of cases Disposed of:	# Cases
On hand at beginning of year	1225	Decided without Referee	304
New cases	703	Decided with Referee	401
Cases Disposed of	794	Otherwise closed (withdrawn)	89
On hand at end of year	1134		

Unions Party to New Cases for FY 2001	# Cases
American Train Dispatchers Department	19
Brotherhood of Maintenance of Way Employes	381
Brotherhood of Railroad Signalmen	168
Transportation Communications Union	117
Miscellaneous (Individual)	18

Carriers Party to New Cases for FY 2001	# Cases		
Amtrak	59	Maryland & Pennsylvania Railroad Co.	1
Atchison, Topeka & Santa Fe Rwy.	1	M & B Railroad, L.L.C.	1
Bangor & Aroostook Railroad Co.	4	Montana Rail Link	2
Belt Railway Company	1	New Orleans Public Belt Railroad Co.	1
Burlington Northern Santa Fe Rwy.	108	New York, Susquehanna & Western Rwy.	1
Canadian Pacific Railroad	1	New Jersey Transit Rail Operation, Inc.	1
Central Michigan Railway Co.	1	Norfolk Southern	9
Consolidated Rail Corporation	5	Paducah & Louisville Railroad	2
CSX Transportation, Inc.	189	Port Authority Trans Hudson	4
CSX/Sealand Terminal	20	Soo Line Railroad	10
Delaware & Hudson Railway Co.	4	Southeastern Pennsylvania Transit	1
Delray Connecting Railroad Co.	1	Springfield Terminal	2
Duluth, Missabe & Iron Range Rwy.	28	Sand Springs Railway Co.	2
Grand Trunk Western	15	Tuscola & Saginaw Bay Rwy. Co.	1
I & M Railway	8	Terminal Railroad Association	2
Illinois Central Railroad Co.	6	Texas Mexican Railway	3
Indiana Harbor Belt Railway Co.	5	Union Pacific Railroad	197
Kansas City Southern Railway Co.	6	Wheeling & Lake Erie Railroad	1

Sixty-Seventh Annual Report of the Fourth Division of the National Railroad Adjustment Board to the National Mediation Board for the Fiscal Year Ended September 30, 2001

Membership:

M. C. Lesnik, Chairman	W. R. Miller, Vice Chairman	M. W. Fingerhut
J. R. Britton	P. V. Varga	J. R. Hurtubise
A. K. Gradia		

Jurisdiction

The Fourth Division of the National Railroad Adjustment Board has jurisdiction over disputes involving employees of carriers directly or indirectly engaged in transportation of passengers or property or by water, and all other employees of carriers over which jurisdiction is not given to the first, second and third divisions. This division shall consist of six members, three of whom shall be selected by the carriers and three by the national labor organizations of the employees. (The Railway Labor Act, as amended, 45 U.S.C. §153, First (h)).

Total Caseloads:	# Cases	Breakdown of Cases Disposed of:	# Cases
On hand at beginning of year	5	Decided without Referee	0
New cases	15	Decided with Referee	11
Cases Disposed of	11	Otherwise closed (withdrawn)	0
On hand at end of year	9		
Carriers Party to New Cases for FY 2001	# Cases	Unions Party to New Cases for FY 2001	# Cases
Duluth, Missabe & Iron Range Railway	13	Transportation Communications Union	14
Springfield Terminal	1	Miscellaneous (Individual)	1
Union Pacific Railroad	1		

APPENDIX VI NOMINATIONS, APPOINTMENTS, OR SELECTIONS OF NEUTRALS (NON-NRAB) - FY 2001

1. Section 3 Tribunals Established FY 2001

	Total Number of Boards
Public Law Board	88
Special Boards of Adjustment	5
Arbitration Board	1

1A. Public Law Boards FY 2001

Carriers	Breakdown of Boards
Bangor & Aroostook Railroad Co.	1
The Belt Railway Company of Chicago	3
Bessemer & Lake Erie Railroad Company	1
Burlington Northern Santa Fe Railway Company	11
Canadian National/Illinois Central	2
Colorado & Wyoming Railway Company	1
CSX Transportation, Inc.	13
The Cuyahoga Valley Railway Co.	2
Dakota, Minnesota & Eastern Railroad	1
Duluth, Missabe & Iron Range Railway Company	1
Elgin, Joliet & Eastern Railway Co.	3
Grand Trunk Western	1
I and M Rail Link	1
Indiana Harbor Belt Railroad	1
Long Island Rail Road	3
Missouri & Northern Arkansas Railway Co.	1
National Railroad Passenger Corporation (AMTRAK)	3
New Jersey Transit Rail	1
Norfolk Southern Corporation	16
Northeast Illinois Regional Commuter Railroad Corp.	1
Pittsburgh & Conneaut Dock Company	1
Port Terminal Railroad Association	1
The River Terminal Railway	2
The Texas Mexican Railway	1
Toledo Peoria & Western Railroad	1
Tracks, Traffic Management Services	1
Union Pacific Railroad Co.	11
Wheeling & Lake Erie Railroad	2
Wisconsin Central Railroad	1

Unions	Breakdown of Boards
Allied Services Division/TCIU	1
American Railway & Airway Supervisors Association	1
Brotherhood of Locomotive Engineers	10
Brotherhood of Maintenance of Way Employes	8
Brotherhood of Railroad Signalmen	4
Brotherhood of Railway Carmen/TCIU	5
Independent Railway Supervisors Association	2
International Association of Machinists & Aerospace Workers	4
International Brotherhood of Boilermakers	1
International Brotherhood of Electrical Workers	4
International Longshoremen's Association	2
National Conference of Firemen and Oilers	1
Sheet Metal Workers International Association	2
Transportation Communications International Union	10
United Transportation Union	33

1B. Special Boards of Adjustment FY 2001

Carriers	Breakdown of Boards
Burlington Northern Santa Fe Railway Co.	1
CSX Transportation, Inc.	1
Metro-North Railroad	1
Tacoma Municipal Belt Line Railway	1
Union Pacific Railroad Company	1

Unions	Breakdown of Boards
Brotherhood of Locomotive Engineers	1
Brotherhood of Maintenance of Way Employes	1
Sheet Metal Workers International Association	1
Transportation Communications International Union	1
United Transportation Union	1

1C. Arbitration Boards FY 2001

Carriers	Breakdown of Boards
Delaware and Hudson Railway Company	1

Unions	Breakdown of Boards
United Transportation Union	1

1D. Arbitrators Selected (PLB, SBA or ARB Boards) FY 2001

Edwin Benn
John Criswell
Barbara Deinhardt
Rodney Dennis
Robert Douglas
John Fletcher
Charlotte Gold
Ann Kenis
Frank Lynch
James Mason
Herbert L. Marx, Jr.
James McDonnell
Peter R. Meyers
Eckehard Muessig
Nancy Murphy
Robert O'Brien
Joan Parker
Robert Peterson
Robert Perkovich
Francis X. Quinn
Robert Richter
Thomas Rinaldo
Martin Scheinman
Barry Simon
Edward Suntrup
David P. Twomey
M. David Vaughn
Helen M. Witt
Carol Zamperini
Barbara Zausner
Marty E. Zusman

APPENDIX VII GLOSSARY: TERMS AND ACRONYMS

TERMS*

Act

The Railway Labor Act

Agency

The National Mediation Board

Alliance

The Alliance for Education in Dispute Resolution

Alternative Dispute Resolution (ADR)

ADR is a process for resolving disputes outside of the judicial system of law. In the venue of the NMB, mediation and arbitration have been the mainstay processes for dispute resolution, and Alternative Dispute Resolution is the facilitation of interest-based or mutual-interest negotiations and grievance mediation.

Amendable Contract

Under the Railway Labor Act, collective bargaining agreements become subject-to-change on a specified date, rather than expiring as agreements do under the National Labor Relations Act.

Arbitration

A type of grievance resolution process where an arbitrator renders a decision, which usually can be appealed only on a very narrow basis such as fraud.

Board

May be one of:
National Mediation Board
Presidential Emergency Board
National Railroad Adjustment Board
Special Board of Adjustment
Public Law Board
National Labor Relations Board

Center

The Center for the Advance Study of Law and Dispute Resolution Processes, a joint NMB/George Mason University program.

Class I

A category of the largest U.S. railroads as defined by the Surface Transportation Board

Collective Bargaining Agreement

A labor contract between a union and a carrier

Cooling Off Period

A 30-day period of time preceding the right of parties to a collective bargaining dispute to engage in "self help" under the RLA.

Craft or Class

A group of employees deemed by the NMB to share a community of work and interest for the purpose of collective bargaining under the RLA.

Direct Negotiations

Negotiations between the parties to a collective bargaining dispute before or apart from NMB mediation.

Facilitation

A process where a neutral helps the parties in a collective bargaining or grievance dispute use ADR problem-solving methods such as interest-based bargaining or grievance mediation.

Grievance Mediation

In the venue of the NMB, using mediation as an alternative to arbitration for resolving grievances.

Impasse

In mediation under the RLA, an impasse is when the NMB determines that further mediation will not resolve a collective bargaining dispute.

Interest Based Bargaining

A type of negotiations where the parties collaboratively focus on finding solutions to underlying needs or concerns of each party (i.e., the whys) instead of adversatively negotiating specific positions.

Laboratory Conditions

Conditions to a representation dispute which ensure the independence of labor and management for the purpose of self-organization and the right of employees to freely determine whether they wish to be represented for the purpose of collective bargaining.

Laker Ballot

A special NMB yes/no ballot designed to address instances of carrier interference as first used in a representation case involving Laker Airlines.

Mediation

A type of dispute resolution process where a neutral (i.e., a mediator) facilitates agreement between the parties to a collectively bargaining dispute, vis-a-vis imposing a settlement on the parties.

National Handling

Mediation of a collective bargaining dispute where management of several railroads have chosen to negotiate collectively on a national basis.

Proffer of Arbitration

The step in the process of resolving collectively bargaining disputes under the RLA which follows a determination of impasse by the NMB, whereby the NMB offers binding arbitration to the parties as a method for resolving the dispute.

Public Interest Meetings

Under the RLA, the NMB can intervene in an active collectively bargaining dispute at any time in the interest of the general public. Usually, one or both parties to a dispute requests the mediation services of the NMB.

Section 3

Section 153 of the RLA pertaining to the National Railroad Adjustment Board

Section 6

Section 156 of the RLA pertaining to the Changing rates of pay, rules and working conditions

Section 7

Section 157 of the RLA pertaining to Arbitration

Section 9a

Section 159A of the RLA pertaining to Presidential Emergency Boards

Section 10

Section 160 of the RLA pertaining to Presidential Emergency Boards

Self Help

The right of a party to a collective bargaining dispute to unilaterally act in its own best interest. A carrier, for example, may lock disputing employees out of the workplace or implement changes in pay, rules and working conditions; and the union, for example, may strike or work specifically as required by its collective bargaining agreement.

Showing Of Interest

In a representation dispute, a majority of employees in a Craft or Class must indicate an interest in being represented for the purpose of collective bargaining by signing authorization cards which are submitted to the NMB by the representative/s of their choosing.

Status Quo

Situations under the RLA in either collective bargaining or representation disputes where existing pay rates, rules and working conditions cannot be changed unilaterally, pending the resolution of the dispute in question.

System Boards of Adjustment

An arbitration board pursuant to an agreement by the parties for resolving grievances.

*These definitions are meant to provide general understanding only. They do not provide definitive definition regarding any matter before the Board and are not to be construed as legal definitions that may be cited in any administrative, legal or arbitral proceeding.

ACRONYMS

AA	American Airlines
ADR	Alternative Dispute Resolution
AFA	Association of Flight Attendants
ALPA	Air Line Pilots Association
AMFA	Aircraft Mechanics Fraternal Association
AMTRAK	National Railroad Passenger Corporation
APFA	Association of Professional Flight Attendants
ARASA	American Railway and Airline Supervisors Association, a TCU division
ARB	Arbitration
ARWPA	Arrow Pilots Association
ASA	Atlantic Southeast Airlines
ATA	American Trans Air, or Air Transport Association
ATDD	American Train Dispatchers Department, BLE
BA	British Airlines
BLE	Brotherhood of Locomotive Engineers
BMWE	Brotherhood of Maintenance of Way Employees
BNSF	Burlington Northern and Sante Fe
BRS	Brotherhood of Railroad Signalmen
C/N	Canadian National Railroad
CSCDSC	Customer Service/Crew Dispatcher Steering Committee
CSX	CSX Transportation Incorporated
CWA	Communication Workers of America
D/B/A	Doing Business As
DBA	Doing Business As
DHL	DHL Worldwide Express
DOJ	Department of Justice
DOT	Department of Transportation
EJ&E	Elgin, Juliet and Eastern Railroad
EOCA	Express One Crewmembers Associations
EWA	Emery Worldwide Airlines
F&A	Financial and Administrative
FAA	Federal Aviation Administration
FEPA	Federal Express Pilots Association
FFMIA	Federal Financial Management Improvement Act
FTE	Full Time Equivalent
FUI	Findings Upon Investigation
FY	Fiscal Year
GLOWS	A Financial Management software system
GMRA	Government Management Reform Act
GMU	George Mason University
GPRA	Government Performance and Results Act
GTW	Grand Trunk Western Railroad
HERE	Hotel and Restaurant Employees International Union
HTAW	Hawaii Teamsters and Allied Workers
IAM	International Association of Machinists & Aerospace Workers
IAEP	International Association if EMTs and Paramedics
IBB	Interest-Based Bargaining
IBB&B	International Brotherhood of Boilers and Blacksmiths
IBEW	International Brotherhood of Electrical Workers
IBT	Int'l Brotherhood of Teamsters, Chauffeurs, Warehousemen & Helpers of America
ICAR	Institute for Conflict Analysis and Resolution
ILA	International Longshoremen Association
IND	Individual
IPA	Intergovernmental Personnel Act
IRSA	Independent Railway Supervisors Association
ISI	Insufficient Showing of Interest
IT	Information Technology

IUISTHE	International Union of Industrial Service, Transport and Health Employees
JFMIP	Joint Financial Management Improvement Program
NCCC	National Carriers' Conference Committee
NCFO	National Conference of Firemen and Oilers
NLRB	National Labor Relations Board
NMB	National Mediation Board
NRAB	National Railroad Adjustment Board
NRLC	National Railway Labor Conference
OMB	Office of Management and Budget
OPEIU	Office and Professional Employees International Union
PACE	Paper, Allied-Industrial, Chemical and Energy Workers International Union
PATH	Port Authority Trans Hudson
PDO	Program Development and Outreach
PEB	Presidential Emergency Board
PLB	Public Law Board
PMPC	Priority Mail Processing Centers
PSA	PSA Airlines
PWU	Production Workers Union
RITU	Railway Independent Transit Union
RLA	Railway Labor Act
RR	Railroad
SBA	Special Board of Adjustment
SEIU	Service Employees' International Union
SEPTA	Southeastern Pennsylvania Transportation Authority
SLSCSA	Soo line Signal and Communications Supervisors Association
SMWIA	Sheet Metal Workers' International Association
STB	Surface Transportation Board
TCPA	Trans Continental Pilots Association
TCU	Transportation Communications International Union
TCIU	Transportation Communications International Union
TRRA	Train and Engine Service Employees on Terminal Railroad
TWA	Trans World Airlines
TWACCA	TWA Cockpit Crewmembers Association
TWU	Transport Workers Union of America
UBCJ	United Brotherhood of Carpenters and Joiners of America
UCLA	University of California - Los Angeles
UIU	United Independent Union
USWA	United Steelworkers of America
UTU	United Transportation Union
WC	Wisconsin Central Railroad
WDI	Withdrawal During Investigation